



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	: 10/773,880	Confirmation No.	7460
First Named Inventor	: Phil Verinsky		
Filed	: 2/6/2004		
TC/A.U.	: Optical Drive Controller with a host Interface for Direct Connection to an IDE/ATA Data Bus	Art Unit	2185
Examiner	: Thuan N. Du		
Docket No.	: 3551.P006C3		
Customer No.	: 08791		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

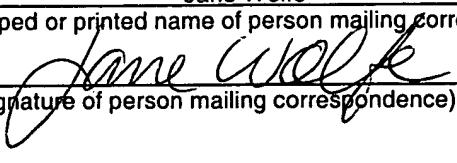
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the MS: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 4, 2004.

(Date of Deposit)

Jane Wolfe

(Typed or printed name of person mailing correspondence)

  
(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

       37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

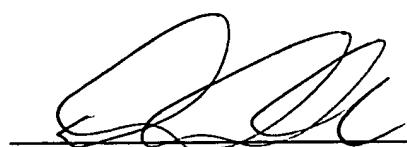
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 8/3, 2004

  
Daniel M. De Vos  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)

**Complete if Known**

Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du
Attorney Docket Number	3551.P006C3

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of

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		us-	4,698,810	10/6/1987	Fukuda, et al.	
		us-	4,991,169	2/5/1991	Davis, et al.	
		us-	5,261,072	11/9/1993	Siegel	
		us-	5,295,247	3/15/1994	Chang, et al.	
		us-	5,313,585	5/17/1994	Jeffries, et al.	
		us-	5,337,409	8/9/1994	Sakata	
		us-	5,359,698	10/25/1994	Goldberg, et al.	
		us-	5,379,284	1/3/1995	Kim	
		us-	5,408,477	4/18/1995	Okada, et al.	
		us-	5,418,910	5/23/1995	Siegel	
		us-	5,434,722	7/18/1995	Bizjak	
		us-	5,446,877	8/29/1995	Liu, et al.	
		us-	5,485,440	1/16/1996	Ogino	
		us-	5,499,252	3/12/1996	Watanabe	
		us-	5,535,327	7/9/1996	Verinsky, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO	93/09500	5/13/1993	Tandy Corporation		
		EP	0689207A1	12/27/1995	Oak Technology Inc.		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Application Number	10/773,880
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First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du
Attorney Docket Number	2551_P006C2

Sheet 2 of 12 Attorney Docket Number 3551.P006C3

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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Sheet

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of

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Examiner Name	Thuan N. Du

Attorney Docket Number 3551.P006C3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		<u>Initial Determination</u> , In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING THE SAME II, Inv. No. 337-TA-409, U.S. International Trade Commission, Public Version, stamp dated 6/11/99, Table of Contents and pages 73-75.	
		<u>Recommended Determination Concerning Respondent's Motion for Sanctions</u> , In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Inv. No. 337-TA-409, U.S. International Trade Commission, Public Version, stamped received date 10/22/99, (61 pp. total)	
		<u>Notice of Final Determination and attached Order</u> , In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING THE SAME - II, Inv. No. 337-TA-409, U.S. International Trade Commission, Corrected Version, stamp dated 9/28/99, (9 pp. total)	
		<u>Commission Opinion</u> , In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Inv. No. 337-TA-409, U.S. International Trade Commission, Public Version, stamped received 10/20/99, (89 pp. total)	
		<u>Denial of Motion for Sanctions; Vacatur of Recommended Determination</u> , In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Inv. No. 337-TA-409 (2 pp.) attached Order (2 pp.) and attached Certificate of Service (1 pp.), U.S. International Trade Commission, stamp received dated 12/13/99.	
		Offer for Sale, letter dated 5/19/93 to David D. Tsang, President, Oak Technology, from Masafumi Kaneko, General Manager, Optical Media Product Division, NEC Home Electronics Co., Ltd. (3 pages), Memorandum signed by David D. Tsang on 5/27/93 and Masafumi Kaneko on 5/28/93 (1 page)	
		Memorandum dated 4/20/94 to Don Bryson, cc: Scott Alberts, Mike Hetzel, From Peter Brown, Re: Barrier Plan (2 pages)	
		Draft Proposed American National Standard -- AT Attachment Prepared by X3T9 - I/O Interface, Accredited Standards Committee - X3 Information Processing Systems (56 pp.), 2/10/92, X3.221-199x, X3T9.2/90-143.	
		Sanyo LC8950 & LDC8951, Real-Time Error Correction and Host Interface Processors for CD-I and CD-ROM Applications, Application Note No. E89, Sanyo Electric Co., Ltd. Semiconductor Division, First English edition: April 1988, Revised to include LC8951: June 1989 (118 pages), Contents (i-ii), List of Figures (iii-iv), List of Tables (v)	
		Oak Technology, Inc. OTI-012 Overview dated 11/11/91 (2 pgs.), 5 diagrams (5 pgs.), Oak Technology, Inc. Memorandum to: Mr. Sugie @ Mitsumi Dallas Office, from: Phil Verinsky @ Oak Technology, Inc., Date: 3/10/92, CC: James Ou, Aki Tanaka, Peter Brown, Kenji Fujimoto, Subject: OTI012 Production Package (3 pp. & 2 pp. of diagrams)	

Examiner Signature		Date Considered	
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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Sheet	4	of	12	Attorney Docket Number	3551.P006C3
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**Complete if Known**

Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Oak Technology, Incorporated Preliminary Specification OTI-012 CD-ROM Controller Chip, 3/20/92, Copyright 1992 Oak Technology, Inc. Printed in USA (72 pp.), Table of Contents (1 p.), Overview (1 p.), Appendix (A-1 to A-3)	
		Proposal for a Working Draft, Revision A1.3, 6/10/93, 11:32 am, ATAPI CD-ROM Standard, Deposition Exhibit 653 Shahani, 10/9/98 (130 pp.), Table of Contents (pp. 3-6), List of Tables (pp. 7-8), List of Figures (pp. 9-10)	
		Memorandum dated 2/19/93 pertaining to the Mitsumi prototype, To: P. Verinsky, CC: M. Case, W. Wang, From: A. Tanaka, Re: IDE Prototype Summary (4 pages)	
		OTI-011 IDE CD-ROM Controller Engineering Specification Rev. 1.1, 11/2/92 (19 pp.), Contents (1 p.), Overview (1 p.)	
		OTI-011 microcontroller controller register chart showing the OTI-011 registers for communication with a microcontroller (RX-10C, RX-16C; RX-22C, RX-153C ("CD ROM Interface Status),(4/21/93) (5 pp.)	
		OTI-011 IDE Interface Control Registers (from the microcontroller's perspective) (2 pp.)	
		Oak employee Don Smith's 2/93 design notes describing the OTI-011 registers, including the direct access feature for the PDIAG, DASPEN, and clear BSY signals (RX-20C; Verinsky 1029-1032),(1 page)	
		Claimed inventor Verinsky's 3/93 IDE/Host Checklist referring to an HIRQ pin for generating host interruption requests (RX-17C; Verinsky Tr. 1032-1034, H:\...Mike.Txt (2 pp.)	
		<u>Order No. 16: CONCERNING THE DECLASSIFICATION OF CERTAIN FILINGS AND THE ADMISSION OF CERTAIN EXHIBITS</u> , In the Matter of: CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Investigation No. 337-TA-409, U.S. International Trade Commission, Order signed by Sidney Harris, Administrative Law Judge Issued May 12, 1999 (5 pages)	
		Petition for Review of Final Initial Determination of Complainant Oak Technology, Incorporated, In the Matter of: CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME II, Investigation No. 337-TA-409, by Joel M. Freed, Stephen J. Rosenman, Edwin H. Wheeler, HOWREY & SIMON, Counsel for Complainant, Oak Technology Incorporated, U.S. International Trade Commission, stamped received 5/24/99 (62 pages), Table of Contents (i-iii), Table of Authorities (iv-vi), Appendix to Petition for Review of Final Initial Determination of Complainant Oak Technology, Incorporated (10 pages)	

Examiner Signature		Date Considered
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Sheet	5	of	12	Attorney Docket Number	3551.P006C3
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Application Number	10/773,880
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First Named Inventor:	Phil Verinsky
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Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Disk-Drive Controllers Automate Data Flow, R. Nass, ELECTRONIC DESIGN ARTICLE NO. 20, 10/1/92, pp. 79-80, 82, & 85.	
		<u>Complaint Oak Technologies Incorporated's Responsive Brief Regarding the Commissioner's Review of Final Initial Determination and Order No. 15, In the Matter of: CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME II, Investigation No. 337-TA-409, by Joel M. Freed, Michael J. Songer, Bert C. Reiser, HOWREY &amp; SIMON, Counsel for Complainant, Oak Technology Incorporated, U.S. International Trade Commission, Before the Honorable Sidney Harris Administrative Law Judge, stamped received 7/20/99 (73 pages), Table of Contents (pgs. i-iv), Table of Authorities (v-viii)</u>	
		IDE/ATE Disk Interface Hits the Wall, B.C Cole, ELECTRONIC ENGINEERING TIMES Special Report - Design, May 3, 1993, pp. 46-50	
		Compact Disc Terminology by James R. Fricks, Disc Manufacturing Inc., Rev. 1.0 6/92, 1992	
		International Search Report dated 4/6/98 for PCT/US 97/21242	
		US Court of Appeals for the Federal Circuit, Doc # 00-1078, Oak Technology vs. Mediatek, United Microelectronics, Lite-On Technology and Aopen, Inc., 30 pages, May 2, 2001.	
		Written Opinion, date of mailing 09/09/1998, Application No. PCT/UUS97/21242, filing date 19/11/1997, Patent Classification G06F3/06	
		Declaration of Robert G. Wedig, Investigation No. 337-TA-409 by the United States International Trade Commission, 57 pages.	
		Portions of Application Note No. E89, SANYO TECHNICAL REFERENCE MANUAL MANUAL NO. SANYO LC8950 & LC8951, April 1988, Cover, contents, pp.64-68, 84-85.	

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		Letter from HOWREY SIMON ARNOLD & WHITE, LLP, dated 10/30/00, Re <u>Citation of Supplemental Authority - Oak Technology, Incorporated v. International Trade Commission, Appeal No. 001-1078</u> , to the Honorable Jan Horbaly, U.S. Court of Appeals for the Federal Circuit, by Hand Delivery.	
		Letter from Timothy P. Monaghan, Office of General Counsel, United States International Trade Commission, date 11/7/00, Re Citation of Supplemental Authority - Oak Technology, Incorporated v. International Trade Commission, Appeal No. 001-1078, to the Honorable Jan Horbaly, U.S. Court of Appeals for the Federal Circuit.	
		Nonconfidential Brief of Appellant Oak Technology, Inc.; Appeal No. 00-1078; United States Court of Appeals For the Federal Circuit, <u>Oak Technology, Inc. v. International Trade Commission and Mediatek, Inc. United Microelectronics Corp., Lite-On Technology Corp., and AOPEN, Inc.</u> ; On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq.; HOWREY SIMON ARNOLD & WHITE, LLP, dated 2/24/00..	
		Brief of Appellee United States International Trade Commission, United States Court of Appeals for the Federal Circuit; <u>Oak Technology, Inc. v. International Trade Commission and Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and AOPEN, Inc.</u> ; On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Appeal No. 00-1078; Timothy P. Monaghan, Attorney, Lyn M. Schlitt, James A. Toupin, Office of the General Counsel, U.S. International Trade Commission, dated 4/7/00.	
		Corrected Brief of Intervenors Mediatek, Inc., United Microelectronics Corp., Lite-On Tech. Corp., and AOPEN, Inc.; Appeal No. 00-1078; United States Court of Appeals for the Federal Circuit, Oak Tech., Inc. v. International Trade Commission and Mediatek, Inc., United Microelectronics Corp., Lite-On Tech. Corp. and AOPEN, Inc.; On Appeal from the U.S. International Trade Commission in Investigation No. 337-TA-409; Michael A. Landra, Esq., James C. Otteson, Esq., Lisa G. McFall, Esq., WILSON, SONSINI, GOODRICH & ROSATI, dated 4/13/00.	

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Sheet 7 of 12 Attorney Docket Number 3551.P006C3

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Nonconfidential Joint Appendix Volume I; Pages 1-416; Appeal No. 00-1078, United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP. May 1, 2000	
		Nonconfidential Joint Appendix Volume II; Pages 417-913.; Appeal No. 00-1078, United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP. May 1, 2000	
		Nonconfidential Joint Appendix Volume III; Pages 914-2423: Appeal No. 00-1078, United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP. May 1, 2000	
		Reply Brief of Appellant Oak Technology, Incorporated; United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, and Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP, dated 5/11/00.	
		Letter dated 11/20/01 from James C. Otteson of Wilson, Sonsini, Goodrich & Rosati to the Honorable Ronald M. Whyte via hand delivery regarding <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (2 pgs)	
		Letter dated 11/13/01 from Michael A. Ladra of Wilson, Sonsini, Goodrich & Rosati to the Honorable Ronald M. Whyte via hand delivery regarding <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (4 pgs)	

Examiner Signature		Date Considered	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 8 of 12 Attorney Docket Number 3551.P006C3

**Complete if Known**

Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du

**NON PATENT LITERATURE DOCUMENTS**

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		Order re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C 97-20959 RMW (Consolidated with C 97-21126 RMW) In the United States District Court, For the Northern District of California, San Jose Division, signed by Ronald M. Whyte, United States District Judge (3 pgs)	
		[Proposed] First Amended Answer and Counterclaims of United Microelectronics Corporation re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (ARB) dated 8/10/01 (19 pgs)	
		Order Granting Plaintiff Oak Technology's Motion to Dismiss Declaratory Relief Claims and Denying United Microelectronics' Counter-Motion for Leave to Amend re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW dated 10/10/01 and signed by Ronald M. Whyte, United States District Judge on 10/3/01 (15 pgs)	
		United Microelectronics Corporation's Opposition to Oak Technology Inc.'s Motion for Dismissal and Notice of Counter-Motion and Counter-Motion for Leave to Amend Counterclaims re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (ARB), dated 8/31/01 (13 pgs); [Proposed] Order Denying Oak Technology, Inc.'s Motion for Dismissal and Granting United Microelectronics Corp.'s Counter-Motion for Leave to Amend Counterclaims (unsigned) (2 pgs); Certificate of Service of United Microelectronics Corporation's Opposition to Oak Technology Inc.'s Motion for Dismissal and Notice of Counter-Motion and Counter-Motion for Leave to Amend Counterclaims and [Proposed] Order Denying Oak Technology, Inc.'s Motion for Dismissal and Granting United Microelectronics Corp.'s Counter-Motion for Leave to Amend Counterclaims, dated 8/13/01 (2 pgs)	
		Oak Technology Inc.'s Claim Construction Brief re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated July 19, 2002 (27 pages).	
		Oak Technology Inc.'s Response to Defendant United Microelectronics Corporation's Invalidity Contentions Dated June 28, 2002 re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated July 19, 2002 (5 pages).	
		[Proposed] Order re Unsealing of Case File (2 pgs), Certificate of Service (Order re Unsealing of Case File) (3 pgs), Stipulation re Unsealing of Case File (3 pgs), re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated July 30, 2002.	

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Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du

Sheet 9 of 12 Attorney Docket Number 3551.P006C3

**NON PATENT LITERATURE DOCUMENTS**

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		Defendant United Microelectronics Corporation's Opening Brief on Claim Construction Pursuant to April 15, 2002 Case Management Order (23 pgs), Declaration of James C. Otteson (7 pgs), Misc. Administrative Request of Deft. for leave to File Documents Under Seal (2 pgs), Declaration of Dale E. Buscaino (4 pgs), re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated June 28, 2002.	
		Defendant United Microelectronics Corporation's Invalidity Contentions (3 pgs), [Proposed] Order Granting Miscellaneous Administrative Request of Defendant United Microelectronics Corporation for Leave to File Documents Under Seal (2 pgs), Confidential Exhibits to Declaration of James C. Otteson in Support of Defendant United Microelectronics Corporation's Opening Brief on Claim Construction (1 pg), Certificate of Service (3 pgs), re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated June 21, 2002.	
		LAWRENCE J. LAMERS, Information Technology- AT Attachment Interface for Disk Drives, Reference Number ANSI X3.221-199x, Copyright 1994, Computer and Business Equipment Manufacture Association. (75 pages)	

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Sheet

10

of

12

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Application Number	10/773,880
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First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du

Attorney Docket Number

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		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Notice of Motion and Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (21 pgs), [Proposed] Order Granting UMC's Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 & 6, Certificate of Service re Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 & 6, Declaration of James C. Otteson in Support of UMC's Motion for Summary Judgment that Oak's '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 & 6 (3 pgs), Exhibits A-C dated 11/15/2002	
		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims</u> (21 pgs), <u>Declaration of Michael J. Songer in Support of Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims</u> (7 pgs), [Proposed] Order (2 pgs), dated 11/25/2002; Certificate of Service dated 11/26/2002 (2 pgs)	
		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Opposition of UMC's Motion for Summary Judgment that Oak's '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (13 pgs), <u>Declaration of Michael J. Songer in Support of Oak's Opposition to UMC's Motion for Summary Judgment that Oak's '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (3 pgs), [Proposed] Order (2 pgs), Exhibits 1-10, dated 11/29/2002	
		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Reply Brief in Support of UMC's Motion for Summary Judgment that the '715 Patent is Invalid Under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (8 pgs), <u>United Microelectronics Corporation's Objection to "Evidence" Offered by Oak Technology</u> (2 pgs), <u>Reply Declaration of James C. Otteson in Support of UMC's Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> , Certificate of Service via Overnight and Hand Delivery dated 12/6/2002 (2 pgs)	

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Sheet 11 12 Attorney Docket Number 3551.P006C3

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Art Unit	2116
Examiner Name	Thuan N. Du

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		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Submission of Declaration of Robert G. Wedig in Support of Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims dated 12/11/2002</u> (2 pgs), <u>Declaration of Robert G. Wedig in Support of Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims dated 12/10/2002</u> (2 pgs), Certificate of Service dated 12/11/2002 (via Federal Express), letter from Arnold & Porter dated 12/11/2002 to Office of the Clerk, U.S. District Court for the Northern District of California	
		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>United Microelectronics Corporation's Opposition to Oak Technology, Inc.'s Motion for Summary Judgment on UMC's Patent Defense to Oak's Fraud Claim</u> (24 pgs), Exhibit A (6 pgs), <u>Declaration of James C. Otteson in Support of Microelectronics Corporation's Opposition to Oak Technology, Inc.'s Motion for Summary Judgment to UMC's Patent Defense to Oak's Fraud Claim dated 12/10/2002</u> (16 pgs), Certificate of Service via overnight and hand delivery re United Microelectronics Corporation's Opposition to Oak Technology, Inc.'s Motion for Summary Judgment on UMC's Patent Defense to Oak's Fraud Claim dated 12/13/2002 (2 pgs)	
		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Reply in Support of Oak's Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claim</u> , <u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division (15 pgs), Certificate of Service (2 pgs), dated 12/20/2002	

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		Complaint under Section 337 of the Tariff Act of 1930, as Amended; In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-, dated 3/11/2004 (35 pgs)	
		Public Version of Response to Complaint and Notice of Investigation Under Section 337 of the Tariff Act of 1930, as Amended, United States International Trade Commission Washington, D.C. Before the Honorable Paul J. Luckern, Administrative Law Judge, Investigation No. 337-TA-506, dated 5/4/2004 (48 pgs)	
		<u>Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Mintek Digital, Inc., Asustek Computer, Inc., Lite-On Information Technology Corp., Teac Corporation, Teac America, Inc., Terapin Technology PTE., LTD. Corporation and Teraoptix L.P. d/b/a Terapin Technology</u> , First Amended Complaint for Patent Infringement; Demand for Jury, United States District Court, Central District of California, Southern Division, Case No. SA CV 04-0313 GLT (RCx), Exhibit 1 and Exhibit 2, dated 5/20/2004, filed 5/21/2004 (114 pgs)	

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